

04-02-01

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PTO/SB/05 (11-00)Approved for use through 10/31/02 OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE03/30/01  
U.S. PTO  
C666  
100-023701**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 39303.20243.00

First Inventor Shinichi ITO

Title INFORMATION RETRIEVAL SYSTEM AND INFORMATION RETRIEVAL  
METHOD USING NETWORK

Express Mail Label No. EL606538386US

j1040 U.S. PTO  
100-023701

03/30/01

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EL606538386US

Date of Deposit: March 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Marco Jimenez

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 104]
- Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets 17]
5.  Oath or Declaration [Total Pages ]
- a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s)).
10.  37 CFR 3.73(b) Statement  
(where there is an assignee)  Power of Attorney
11.  English Translation document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
Should be specifically itemized
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. \_\_\_\_\_

Prior application information      Examiner \*      Group / Art Unit. \*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

25224  
PATENT TRADEMARK OFFICE

(Insert Customer No or Attach bar code label here)

Name	David L. Fehrman				
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Name (Print/Type)	David L. Fehrman		Registration No. (Attorney/Agent)	28,600	
Signature			Date March 30, 2001		

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# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

<b>TOTAL AMOUNT OF PAYMENT</b>	\$1,762.00	Attorney Docket No	39303.30243.00
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**Complete if Known**

Application Number	NEW
Filing Date	Concurrently Herewith
First Named Inventor	Shinichi ITO
Examiner Name	Not Assigned
Group Art Unit	Not Assigned

<b>METHOD OF PAYMENT</b>	<b>FEE CALCULATION (continued)</b>					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	<b>3. ADDITIONAL FEES</b>					
Deposit Account Number	03-1952					
Deposit Account Name	Morrison & Foerster LLP					
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	105 130 205 65 Surcharge - late filing fee or oath					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
2. <input checked="" type="checkbox"/> Payment Enclosed:	139 130 139 130 Non-English specification					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	147 2,520 147 2,520 For filing a request for ex parte reexamination					
<b>FEE CALCULATION</b>						
1. BASIC FILING FEE						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)			
Fee Description		Fee Paid				
101 710 201 355 Utility filing fee		710				
106 320 206 160 Design filing fee						
107 490 207 245 Plant filing fee						
108 710 208 355 Reissue filing fee						
114 150 214 75 Provisional filing fee						
SUBTOTAL (1)	\$710.00					
2. EXTRA CLAIM FEES						
Total Claims	34- 20=	Extra Claims	Fee from below	Fee Paid		
Independent Claims	13-3 =	x	\$18.00	= \$252.00		
Multiple Dependent		x		= \$		
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		
Fee Description						
103 18 203 9 Claims in excess of 20						
102 80 202 40 Independent claims in excess of 3						
104 270 204 135 Multiple dependent claims, if not paid						
109 80 209 40 **Reissue independent claims over original patent						
110 18 210 9 **Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2)	\$1,050.00					
* or number previously paid, if greater; For reissues, see above.						
*Reduced by Basic Filing Fee Paid						
SUBTOTAL (3) \$0						
Complete (If applicable)						
Name (Print/Type)	David L. Fehrman	Reg. No. (Attorney/Agent)	28,600	Telephone (213) 892-5601		
Signature	David L. Fehrman			Date March 30, 2001		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.